

**RESOLUTION NO. 1**  
**OF THE EXTRAORDINARY GENERAL MEETING**  
**OF IPOPEMA SECURITIES SPÓŁKA AKCYJNA**  
**dated 19 October 2018**  
**to appoint the Chairperson of the Extraordinary General Meeting**

Pursuant to Art. 409.1 of the Commercial Companies Code, the Extraordinary General Meeting of IPOPEMA Securities S.A. hereby resolves as follows: -----

Section 1

Mr/Ms [●] shall be appointed as Chairperson of the Extraordinary General Meeting. -----

Section 2

This Resolution shall become effective as of its date. -----

Grounds for draft Resolution No. 1:

*Pursuant to Art. 409.1 of the Commercial Companies Code, the Chairperson of the General Meeting is elected from among the individuals entitled to attend the General Meeting.*

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**RESOLUTION NO. 2**  
**OF THE EXTRAORDINARY GENERAL MEETING**  
**OF IPOPEMA SECURITIES SPÓŁKA AKCYJNA**  
**dated 19 October 2018**  
**to appoint a Ballot Counting Committee**

Acting on the proposal put forward by the Chairperson, the Extraordinary General Meeting of IPOPEMA Securities S.A. resolves as follows: -----

Section 1

A Ballot Counting Committee shall be appointed, composed of the following persons:-----

[●].-----

Section 2

This Resolution shall become effective as of its date. -----

**RESOLUTION NO. 3**  
**OF THE EXTRAORDINARY GENERAL MEETING**  
**OF IPOPEMA SECURITIES SPÓŁKA AKCYJNA**  
**dated 19 October 2018**  
**to adopt the agenda**

Acting on the proposal put forward by the Chairperson, the Extraordinary General Meeting of IPOPEMA Securities S.A. resolves as follows: -----

Section 1

The Extraordinary General Meeting adopts the following agenda: -----

1. Opening of the Extraordinary General Meeting. -----
2. Appointment of the Chairperson. -----
3. Preparation of a list of attendees. -----
4. Confirmation that the Extraordinary General Meeting has been properly convened and has the capacity to adopt resolutions. -----
5. Appointment of a Ballot Counting Committee. -----
6. Adoption of the agenda. -----
7. Resolution on the adoption of the Policy on assessment and approval of members of the Management and Supervisory Boards and key employees of IPOPEMA Securities S.A. -----
8. Closing of the Extraordinary General Meeting. -----

Section 2

This Resolution shall become effective as of its date. -----

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**RESOLUTION NO. 4**  
**OF THE EXTRAORDINARY GENERAL MEETING**  
**OF IPOPEMA SECURITIES SPÓŁKA AKCYJNA**  
**dated 19 October 2018**  
**on the adoption of the Policy on assessment and approval of members of the Management and Supervisory Boards and key employees of IPOPEMA Securities S.A.**

Pursuant to Art. 57.2 of the Regulation of the Minister of Finance of 29 May 2018 on detailed technical and organisational aspects of investment firms, banks referred to in art. 70.2 of the Act on Trading in Financial Instruments, and custodian banks [*Rozporządzenie Ministra Finansów z dnia 29 maja 2018 r. w sprawie szczegółowych warunków technicznych i organizacyjnych dla firm inwestycyjnych, banków, o których mowa w art. 70 ust. 2 ustawy o obrocie instrumentami finansowymi, i banków powierniczych*], the Extraordinary General Meeting of IPOPEMA Securities S.A. hereby resolves as follows: -----

## Section 1

The Extraordinary General Meeting adopts the Policy on assessment and approval of members of the Management and Supervisory Boards and key employees of IPOPEMA Securities S.A. -----

## Section 2

This Resolution shall become effective as of its date. -----

### Grounds for draft Resolution No. 4:

*Pursuant to Art. 57.1 of the Regulation of the Minister of Finance of 29 May 2018 [...] investment firms are obliged to prepare and implement policies related to the assessment and approval of members of their management and supervisory boards in order to assess the fulfilment by these persons of the suitability requirement. Pursuant to Art. 57.2 of the abovementioned Regulation this policy is adopted by the general meeting of the investment firm and approved by its management and supervisory boards. The project of the abovementioned Policy, which was initially approved by the Management and Supervisory Board of the Company, is presented to the General Meeting in order to being approved.*

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